

**Asian Network Workshop for Prevention of
Illegal Transboundary Movement of Hazardous Wastes 2020
Provisional Agenda**

19-20 November 2020



Update on intersessional work towards the fifteenth meeting of the Conference of the Parties to the Basel Convention (COP-15) – An overview

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Secretariat of the Basel, Rotterdam and Stockholm Conventions

A large container ship is shown from a low angle, emphasizing its scale. The ship's deck is covered with a dense stack of multi-colored shipping containers in shades of blue, orange, red, and green. The ship's superstructure, including the bridge and various antennas, is visible at the top. A large, semi-transparent white circle is overlaid on the left side of the image, containing the text "Strategic issues" in a bold, black, sans-serif font. The background consists of a dark, overcast sky and a body of water in the distance.

Strategic issues

Strategic framework

- Secretariat and SIWG entrusted to prepare the report on the final evaluation of the strategic framework for the implementation of the BC for 2012-2021 (decision BC-14/1)
- SIWG members from Asia and the Pacific States: Mr. Chaiyod Bunyagidj (Thailand) and Mr Zaghoul Samhan (Chair, State of Palestine)
- SIWG-1 (20-22 April 2020) considered draft report
- Draft report considered by OEWG-12 part I (1-3 September 2020) which invited comments thereon by 30 September 2020
- SIWG-2 (22 October 2020) considered final report
- Comments invited on the conclusions and recommendations set out in the final report and on views as to whether a future strategic framework effectiveness evaluation could be considered
- COP-15 to consider final report including recommendations



Ban Amendment

- Adopted at COP3 (1995)
- Entered into force on 5 December 2019
- 99 Parties
- COP requested the Secretariat, subject to the availability of resources and upon request, to continue to assist Parties that are having difficulties in ratifying the Ban Amendment (decision BC-14/2)
- Celebration organized with Permanent Missions in Geneva
- Updated resources for Parties and stakeholders on Basel Convention website
- Video marking entry into force – available at <http://www.basel.int/Implementation/PublicAwareness/Multimedia/tabid/2473/Default.aspx>
- Secretariat remains available to assist further as may be requested by Parties



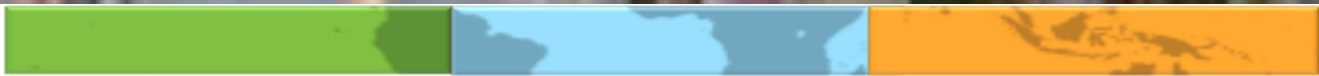
Guidelines for ESM

- Secretariat to prepare a further revised version of the manual for stakeholders to ensure that notifications of transboundary movements meet environmentally sound management requirements (decision BC-14/13)
- Revised draft of the manual made available to OEWG-12 part I (1-3 September 2020) which invited comments thereon by 30 October 2020
- Four sets of comments received after OEWG-12 part I, revised draft of manual to be submitted to COP-15 for possible adoption
- ESM Toolkit being reviewed to highlight areas of potential for updating





Scientific and technical matters



Technical guidelines

- **POPs wastes (BC-14/4)**

- Draft updated general TG and 2 draft updated TG prepared in consultation with SIWG and considered by OEWG-12 part I (1-3 September 2020)
- SIWG members from Asia and Pacific States: : China, Japan, Jordan, Nepal, Myanmar, New Zealand, Sri Lanka
- Compilation of comments and information on low persistent organic pollutant content values also made available to OEWG-12 part I
- OEWG-12 invited commends on the draft TG by 21 September 2020
- SIWG to meet online, from 16-19 November 2020
- 3 TG are to go to COP-15 for consideration and possible adoption



Technical guidelines (cont.)

- **D5 and D10 (including R1) (BC-14/6)**
 - Draft updated TGs prepared with SIWG for consideration by OEWG-12 part I
 - OEWG-12 invited comments on the draft TGs by 15 September 2020
 - SIWG met online on 27- 28 October, 3-4 November 2020, and is to meet again online in the coming months
 - TGs are to go to COP-15 for consideration and possible adoption



Technical guidelines (cont.)

- **Plastic waste (BC-14/13)**
 - SIWG established to update the 2002 TG for the identification and ESM of plastic wastes and for their disposal
 - Members from Asia and Pacific States: China, India, Japan, Malaysia and Pakistan. Co-leads: China, Japan and UK
 - Draft updated TG considered by OEWG-12 part I, which invited comments thereon by 15 September 2020
 - SIWG to meet online in December 2020
 - Revised draft updated TG to be prepared for COP-15 consideration
- **E-waste (BC-14/5)**
 - TG adopted on interim basis by COP-14, with follow up entrusted to EWG
 - Members from Asia and Pacific States: China, India, Japan, Pakistan and Philippines
 - Comments on the use and testing of the TG invited by OEWG-12 part I by 30 October 2020
 - EWG to meet online early 2020 to consider preparing revised TG **for COP-15** consideration



Technical guidelines (cont.)

- **Mercury waste (BC-14/8)**
 - SIWG established to update the 2015 TG on the ESM of wastes consisting of, containing or contaminated with mercury or mercury compounds
 - Members from Asia and Pacific States: China and Japan. Lead: Japan
 - Draft updated TG considered by OEWG-12 part I, which invited comments thereon by 15 September 2020
 - SIWG to meet online from 8-11 December 2020
- **Technical guidelines on hazardous waste physico-chemical treatment (D9) and biological treatment (D8) and on the ESM of waste lead-acid batteries (BC-14/7 and BC-14/20)**
 - OEWG-12 to consider whether to update the TG
 - OEWG-12 part I invited comments thereon by 30 November 2020



Classification and hazard characterization of wastes

- Secretariat requested to submit to the WCO a proposal for amending the Harmonized Commodity Description and Coding System to allow the identification of the several types of wastes (BC-14/9)
- Amendments to the HS code for electronic and electrical waste and scrap to enter into force on 1 January 2022.
- Secretariat proposal for amending the HS code to allow for the identification of waste oils containing polychlorinated biphenyl (PCB) and prepared a draft proposal to allow for the identification of plastic waste
- OEWG-12 part I invited comments on the draft proposal to allow for the identification of plastic waste by 15 September 2020
- Secretariat to submit amendment proposal to WCO in 2021



National reporting

- Request to the Secretariat to develop draft practical guidance on the development of inventories for priority waste streams: plastic waste, obsolete pesticides, including pesticide-container waste; waste batteries containing lithium (BC-14/10);
- Three draft practical guidance documents for consideration by OEWG-12
- OEWG-12 part I invited comments thereon by 15 September 2020
- Draft guidance documents to be presented to COP15
- ERS for the 2019 reports available to Parties, to be transmitted by 31.12.2020 (webinar training sessions organized on 6 and 9 November 2020)



Electronic approaches to the notification and movement documents

- Secretariat to prepare a report on experiences in developing and implementing electronic systems for exchanging information on or controlling the movements of goods and wastes, for OEWG-12 consideration; and to organize, after OEWG-12, consultative workshops (BC-14/11)
- Draft report prepared for consideration by OEWG-12
- OEWG-12 part I invited comments thereon by 30 November 2020
- Consultative workshop planned for early 2021



Plastic waste amendments

- Plastic waste amendments adopted by COP-14 (decision BC-14/12)
- Enhance the control of the transboundary movements of plastic waste and clarify the scope of the Convention as it applies to such waste
- What has changed? Amendments to Annexes II, VIII, IX
 - Annex II (waste that requires special consideration: subject to the PIC procedure): addition of new entry **Y48** covering all plastic waste, including mixtures of plastic waste, except for the plastic waste covered by entries A3210 (in Annex VIII) and B3011 (in Annex IX);



Plastic waste amendments (cont.)

- Annex VIII (waste presumed to be hazardous: subject to the PIC procedure): addition of new entry **A3210** covering hazardous plastic waste “Plastic waste, including mixtures of such waste, containing or contaminated with Annex I constituents, to an extent that it exhibits an Annex III characteristic (note the related entries Y48 in Annex II and on list B B3011).” Examples of hazardous constituents that may be found in plastic waste due to their use as additives in various applications are lead compounds (used as heat or light stabilisers) and organohalogen compounds (e.g. halogenated organic compounds used as flame retardants);



Plastic waste amendments (cont.)

- Annex IX (waste presumed to not be hazardous: not subject to the PIC procedure): addition of new entry **B3011**, replacing current entry B3010;
 - the following plastic waste will not be subject to the PIC procedure, provided it is destined for ESM recycling and almost free from contamination and other types of wastes:
 - Plastic waste almost exclusively consisting of one non-halogenated polymer. Such polymers include commonly used ones like polyethylene, polypropylene and polyethylene terephthalate (PET).
 - Plastic waste almost exclusively consisting of one cured resin or condensation product. Such resins include urea formaldehyde resins and epoxy resins.
 - Plastic waste almost exclusively consisting of one of the following fluorinated polymers:
 - Perfluoroethylene/propylene (FEP)
 - Perfluoroalkoxy alkanes:
 - Tetrafluoroethylene/perfluoroalkyl vinyl ether (PFA)
 - Tetrafluoroethylene/perfluoromethyl vinyl ether (MFA)
 - Polyvinylfluoride (PVF)
 - Polyvinylidene fluoride (PVDF)
 - the following mixtures of plastic waste will also not be subject to the PIC procedure:
 - Mixtures of plastic waste, consisting of polyethylene (PE), polypropylene (PP) and/or polyethylene terephthalate (PET), provided they are destined for separate ESM recycling of **each material and** almost free from contamination and other types of wastes.



Plastic waste amendments (cont.)

- New plastic waste entries come into effect on 1 January 2021, except for three opt-out Parties: Canada, China and Turkey
- Questions and answers related to the new entries available on the website (<http://www.basel.int/tabid/8427/Default.aspx>); information webinars on legal, technical and enforcement aspects organized in September 2020

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- Technical assistance activities SGP and Norad 1 project





Norad BRS-Norad-1 Project

Jan 2019 to Jun 2022
USD 1.7 million



01

TBM

Effective control of TBM of plastic waste



02

ESM

Improve ESM of plastic waste



03

Minimize

Prevent and minimize the generation of plastic waste



- Recommendations to improve legal and institutional framework for the effective control of TBM of plastic waste (Amendments)
- Inventory of TBM of plastic waste
- Trainings for customs and competent authorities
- Plastic waste inventory toolkit
- Plastic waste ESM guidance
- National plastic waste inventory
- National ESM strategy
- Financing scheme, collection scheme pilot testing
- Recommendations to improve legal framework and infrastructure requirements for ESM
- Pilot testing strategies for preventing and minimizing plastic waste from packaging, fishing and wastewater sectors
- Awareness raising, education and outreach



SGP: How does it work?

on Plastic Waste



Regional Centers

Basel Convention and Stockholm Convention Regional Centers implement pilot projects on plastic waste



Modalities

Provides objectives and scope of projects, institutional setting, selection process, eligibility and screening, implementation, monitoring and evaluation



Government support

Relevant Focal Point of the beneficiary Parties fully committed to/supportive of the implementation of the projects



Funded by



Norad

USD 1.8 Million
2020-2022

Contributes to BRS-Norad project on plastic waste

Secretariat team



Inter-Branch Secretariat team.

Develop modalities, launch the call, undertake screening, select projects, mobilize fund, provide advice and support, monitor and evaluate the implementation of the projects

Steering Committee

Norad and Secretariat.

Make recommendations on the selection of projects, monitor the implementation, provide guidance





SGP: Scope of the projects

01

TBM

Effective control of TBM of plastic waste



02

ESM

Improve ESM of plastic waste



03

Minimize

Prevent and minimize the generation of plastic waste



04

Additives

Reduce the risk from hazardous constituents, e.g. POPs in plastic waste



SDGs

Contribute to SDG targets, in particular 14.1 and 12.4





SGP: Round-1 selected projects (with conditions)



BCCC-Nigeria-1

Promoting environmentally sound management and control of transboundary trade of plastic waste in Nigeria, through inventory and stakeholder mapping

1

5

BCRC-Slovakia-1

Pilot implementation of specific public procurement criteria focused on prevention and minimization of plastic waste in Albania



6

BCRC-Caribbean-2

Replacing single-use plastic commodities in the economy of Suriname



7

BCRC-SCRC-Panama-2

Institutional strengthening for the control of transboundary movement and the improvement of environmentally sound management of plastic waste in the Central America subregion



2

BCRC-SCRC-South Africa-3

Establishment of integrated waste management 'Sustainability Hubs' in Motherwell, Nelson Mandela Bay Municipality



3

BCRC-SCRC-China-1

Capacity-building on environmentally sound management of single-use plastic and its waste in Asia-pacific countries



4

SCRC-India-2

Development of an innovative approach for improved plastic waste management in India



Further consideration of plastic waste

- OEWG-12 to consider:
 - Whether, how and when the COP should assess the **effectiveness of the measures** taken under the Convention to address the plastic waste contributing to marine plastic litter and microplastics;
 - Which **further activities** could possibly be conducted under the Convention in response to developments in scientific knowledge and environmental information related to plastic waste as a source of land pollution, marine plastic litter and microplastics.
 - **Background information prepared for consideration by OEWG-12 (UNEP/CHW/OEWG.12/INF/20)**
 - **OEWG-12 part I invited comments by 30 September 2020**
 - **Revised document will be submitted to the COP-15 for consideration**
- COP-14 invited Parties and others to submit, by 1 June 2020, information on the plastic waste (cured resins and fluorinated polymer wastes) referred to in entry Y48 in Annex II and entry B3011 in Annex IX to the Convention.
 - **Secretariat compiled the information on the Basel website; and will forward it to the COP-15 for consideration**



Waste containing nanomaterials

- Parties and others invited to submit to the Secretariat information related to activities aimed at addressing issues related to waste containing nanomaterials, including case studies about and best practices relating to the management of such waste, and Secretariat requested to compile the information received (BC-14/14)
- Information compiled for consideration by OEWG-12
- OEWG-12 part I invited further information about activities by 30 November 2020.



Legal, compliance and governance matters



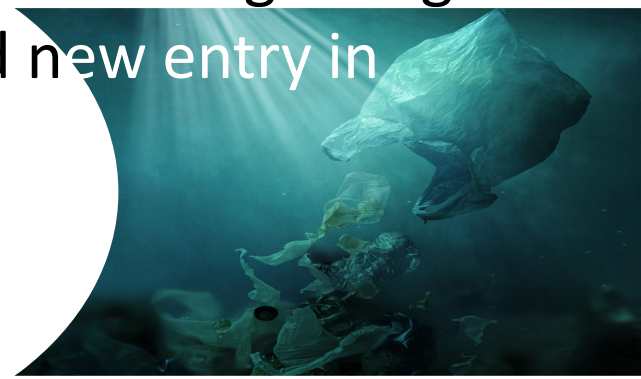
Committee Administering the Mechanism for Promoting Implementation and Compliance

- ICC-14 part I (29 June-3 July 2020), part II (21-25 September 2020) and part III (7 December 2020)
- Members from Asia and Pacific region:
- **Specific submissions:** two compliance matters resolved (Bahamas, Bhutan and Sao Tome and Principe), 16 ongoing submissions (Burkina Faso, CAR, Chad, Cook Islands, DPRK, Equatorial Guinea, Gabon, Liberia, Mauritania, Nauru, Niger, Saint Vincent and Grenadines, Sudan, Syrian Arab Republic, Togo and Turkmenistan)
- **General issues:** reporting (classification of performance, integration in sustainable cooperation frameworks), national legislation, illegal traffic (dialogue with MEAs), control system (guidance on insurance, bond and guarantee; guidance on transit TBM, consulted with OEWG-12), draft POW for 2022-2023



Providing further legal clarity

- EWG on the review of Annexes I, III, IV, entries A1180 and B1110 (BC-14/16 and BC-14/13)
- Members from Asia and Pacific: China, India, Japan, Lebanon, State of Palestine, Jordan, Iran
- Initial focus on the review of annex IV and the e-waste entries: recommendations on possible amendments proposals and consequential findings (EWG on RA-3) submitted to OEWG-12 consideration
- OEWG-12 invited further comments by 15 September 2020
- EWG on RA-4: 5-9 October 2020; further sessions early 2021 (tbc)
- Amendment proposals expected by 4 December 2020: EU and its MS regarding annex IV; Switzerland and Ghana regarding e-waste entries and new entry in Annex II





Basel Convention Partnership Programme

Household Waste Partnership

- Revised draft of the overall guidance document on the environmentally sound management of household waste to be prepared by the WG for consideration by COP-15 (BC-14/19)
- Second meeting of the WG: Trinidad and Tobago, 4-6 September 2019
- Work initiated in three project groups on: pilot projects; on awareness raising; and on future strategic direction of Partnership
- Draft guidance document considered by OEWG-12 and comments invited by 15 October 2020: 6 submissions received
- Revised draft guidance to be submitted to COP-15 for possible adoption
- Three project groups to report on their work to COP-15



Follow-up partnership to the Partnership for Action on Computing Equipment

- WG of the follow-up partnership to PACE requested to prepare revised versions of the terms of reference and programme of work for 2020–2021 (BC-14/19)
- Draft ToRs and POW prepared for OEWG-12 consideration with a new focus on:
 - Computing Equipment
 - Mobile phones
- OEWG-12 part I invited comments by 15 October 2020 on the draft ToRs and POW
- Both expected to be adopted by COP-15



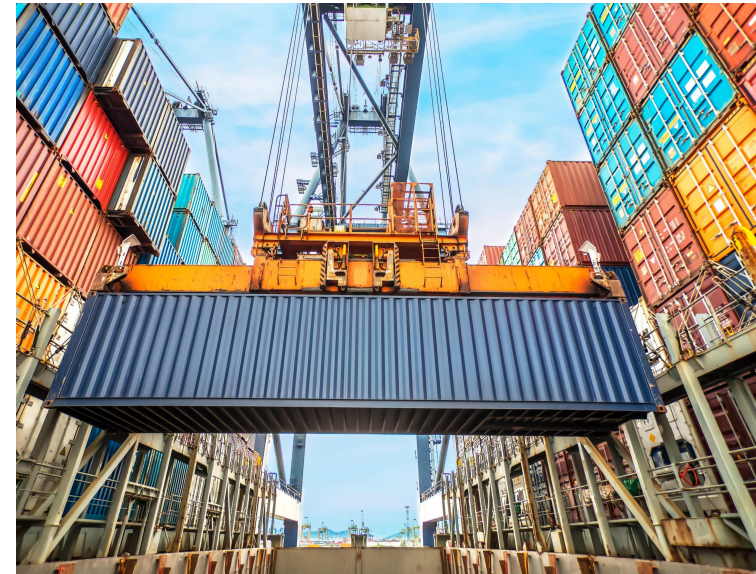
Plastic Waste Partnership

- PWP established by COP-14 (BC-14/13)
 - Tasks: Advance the prevention, minimization, collection and ESM of plastic waste; Undertake pilot projects which support the delivery of the other overall tasks; Collect, analyze and consider possibilities to improve information on TBM of plastic waste; Encourage and promote relevant innovation, research and development.
 - 108 member entities representing Parties, IGOs, NGOs, regional centres, industry. Members from Parties from the Asia and Pacific region: Afghanistan, Bahrain, China, India, Japan, Lao PDR, Mongolia, Myanmar, Pakistan, Syria, Thailand
 - First meeting: 2-5 March 2020, Seychelles
 - Four thematic project groups established (Prevention and minimization; Collection, recycling and other recovery including financing and related markets; Transboundary movements; Outreach, education and awareness-raising)
 - Call for pilot project proposals to support work of PWP: deadline on 24 September, 188 proposals received and currently being assessed. Four pilot projects expected to commence in early 2021
 - OEWG-12 part I took note of progress
 - Project group 3 on TBM to collect and disseminate information on measures to implement BC-14/12 and challenges encountered
- => call for information to be issued in December 2020
- Second meeting likely to take place online in the first quarter of 2020
 - Report on PWP progress to be made to COP-15



ENFORCE

- BC-14/19
- Fourth meeting in Geneva, 30 September to 01 October 2019
- Members from the Asia and Pacific region: Pakistan and BCRC-Indonesia
- New member since November 2020: WCO
- Fifth meeting on 26-27 January 2021
- ICC recommendations towards strengthening of ENFORCE to be considered by COP-15





**Forthcoming
meetings**

Forthcoming meetings

- SIWG on technical guidelines on POPs wastes (online, 16-19 November 2020)
- Resumed session of ICC-14 (online, 7 December 2020)
- SIWG on technical guidelines on mercury wastes (online, 8-11 December 2020)
- ENFORCE-5 (online, 26-27 January 2021)
- Joint Presidents meeting (Latvia, 26 January 2021)
- Joint Bureaux meeting (online, 27-29 January 2021)
- Meetings tbc:
 - Resumed sessions of the EWG on RA-4
 - SIWG on D5-D10 technical guidelines
 - EWG on e—waste technical guidelines
 - SIWG on plastic waste technical guidelines
 - E-notification
 - PWP-2
 - OEWG-12 part II
- COP-15: 19-31 July 2021



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your
attention!

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12 RESPONSIBLE
CONSUMPTION
AND PRODUCTION

